

General information about company

| | |
|--|-----------------------|
| Scrip code | 500336 |
| Name of the company | SURYA ROSHNI LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2016 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |

| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes |
| 7 | Whether company has equity shares with differential voting rights? | No |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
|--------------|--------------------------------|----------------------------|--|--|---|--|---|---|------------|----------|-------------------------|
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg:y | Total | |
| (A) | Promoter & Promoter Group | 15 | 27754726 | | | 27754726 | 63.32 | 27754726 | | 27754726 | 63.32 |
| (B) | Public | 22300 | 16076524 | | | 16076524 | 36.68 | 16076524 | | 16076524 | 36.68 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 22315 | 43831250 | | | 43831250 | 100 | 43831250 | | 43831250 | 100 |

Table I - Summary Statement holding of specified securities

| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--------------|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 63.32 | | | 9904161 | 35.68 | 27754726 |
| (B) | Public | | | | 36.68 | | | | | 15315693 |
| (C) | Non Promoter- Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | 100 | | | 9904161 | 22.6 | 43070419 |

| | | | | | | | | | | | |
|--|---|-------|----------|--|--|----------|-------|----------|--|----------|-------|
| Shareholding (B)=(B)(1)+ (B)(2)+(B) (3) | | 22300 | 16076524 | | | 16076524 | 36.68 | 16076524 | | 16076524 | 36.68 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 22315 | 43831250 | | | 43831250 | 100 | 43831250 | | 43831250 | 100 |
| Total (A+B+C) | | 22315 | 43831250 | | | 43831250 | 100 | 43831250 | | 43831250 | 100 |

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
|--|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 5.06 | | | 0 | 0 | 2216383 |
| (d) | | | | 58.27 | | | 9904161 | 38.78 | 25538343 |
| Sub-Total (A) (1) | | | | 63.32 | | | 9904161 | 35.68 | 27754726 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 63.32 | | | 9904161 | 35.68 | 27754726 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 1.2 | | | | | 527051 |
| (e) | | | | 2.89 | | | | | 1265784 |
| (f) | | | | 0.12 | | | | | 53278 |
| (g) | | | | 0.01 | | | | | 0 |
| (i) | | | | 0.38 | | | | | 167964 |
| Sub-Total (B) (1) | | | | 4.61 | | | | | 2014077 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 14.01 | | | | | 5395610 |
| (a(ii)) | | | | 5.47 | | | | | 2397037 |
| (b) | | | | 0.02 | | | | | 9337 |
| (e) | | | | 12.57 | | | | | 5499632 |
| Sub-Total (B) (3) | | | | 32.07 | | | | | 13301616 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 36.68 | | | | | 15315693 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 43070419 |
| Total (A+B+C) | | | | 100 | | | 9904161 | 22.6 | 43070419 |

Individuals/Hindu undivided Family

| Serial No. | 1 | 2 | 3 | |
|--|---------------------|---------------|-------------|---------------------------------------|
| Name of the Shareholders (I) | JAI PRAKASH AGARWAL | URMIL AGARWAL | VINAY SURYA | Click here to go back |
| PAN (II) | | | | Total |
| No. of fully paid up equity shares held (IV) | 1839416 | 210431 | 166536 | 2216383 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 1839416 | 210431 | 166536 | 2216383 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 4.2 | 0.48 | 0.38 | 5.06 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 1839416 | 210431 | 166536 | 2216383 |
| Class eg: y | | | | |
| Total | 1839416 | 210431 | 166536 | 2216383 |
| Total as a % of Total Voting rights | 4.2 | 0.48 | 0.38 | 5.06 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 4.2 | 0.48 | 0.38 | 5.06 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | 0 | 0 | 0 | 0 |
| | | | | |

| | | | | |
|---|---------|--------|--------|---------|
| As a % of total Shares held (b) | 0 | 0 | 0 | 0 |
| Number of equity shares held in dematerialized form (XIV) | 1839416 | 210431 | 166536 | 2216383 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

| Any Other (specify) | | | | | | | |
|--|--|----------------------------|------------------------------|--------------------------|--------------------------------------|-----------------------------------|----------------------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | 6 | 7 |
| Category | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert |
| Name of the Shareholders (I) | GARGIYA FINANCE AND INVESTMENT PVT LIMITED | PANKAJ INVESTMENTS LIMITED | SAHAJ TIE UP PRIVATE LIMITED | GOEL DIE CAST LIMITED | SHREYANSH MERCANTILE PRIVATE LIMITED | CUBITEX MARKETING PRIVATE LIMITED | SHIRIN COMMODEAL PRIVATE LIMITED |
| PAN (II) | | | | | | | |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 1 | 1 |
| No. of fully paid up equity shares held (IV) | 522487 | 969348 | 3116250 | 1880729 | 3178000 | 4861000 | 2098750 |
| No. Of Partly paid-up equity shares held (V) | | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 522487 | 969348 | 3116250 | 1880729 | 3178000 | 4861000 | 2098750 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.19 | 2.21 | 7.11 | 4.29 | 7.25 | 11.09 | 4.79 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | | |
| Class eg: X | 522487 | 969348 | 3116250 | 1880729 | 3178000 | 4861000 | 2098750 |
| Class eg:y | | | | | | | |
| Total | 522487 | 969348 | 3116250 | 1880729 | 3178000 | 4861000 | 2098750 |
| Total as a % of Total Voting rights | 1.19 | 2.21 | 7.11 | 4.29 | 7.25 | 11.09 | 4.79 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.19 | 2.21 | 7.11 | 4.29 | 7.25 | 11.09 | 4.79 |

| Number of Locked in shares (XII) | | | | | | | |
|---|--------|--------|---------|---------|---------|---------|---------|
| No. (a) | | | | | | | |
| As a % of total Shares held (b) | | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | | |
| No. (a) | 0 | 0 | 1900000 | 0 | 0 | 0 | 2054161 |
| As a % of total Shares held (b) | 0 | 0 | 60.97 | 0 | 0 | 0 | 97.88 |
| Number of equity shares held in dematerialized form (XIV) | 522487 | 969348 | 3116250 | 1880729 | 3178000 | 4861000 | 2098750 |
| Reason for not providing PAN | | | | | | | |

| Any Other (specify) | | | | | | |
|--|--|-----------------------------|--|----------------------------------|----------------------------------|-----------------------|
| Serial No. | 8 | 9 | 10 | 11 | 12 | |
| Category | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Person Acting in Concert | Click here to go back |
| Name of the Shareholders (I) | JITS COURIER AND FINANCE PRIVATE LIMITED | S M VYAPAAR PRIVATE LIMITED | VIKSIT TRADING AND HOLDING PRIVATE LIMITED | DIWAKAR MRKETING PRIVATE LIMITED | LUSTRE MERCHANTS PRIVATE LIMITED | |
| PAN (II) | | | | | | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 1 | 1 | 12 |
| No. of fully paid up equity shares held (IV) | 994539 | 1970000 | 237346 | 5637500 | 72394 | 25538343 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 994539 | 1970000 | 237346 | 5637500 | 72394 | 25538343 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.27 | 4.49 | 0.54 | 12.86 | 0.17 | 58.27 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 994539 | 1970000 | 237346 | 5637500 | 72394 | 25538343 |
| Class eg:y | | | | | | |
| Total | 994539 | 1970000 | 237346 | 5637500 | 72394 | 25538343 |
| Total as a % of Total Voting rights | 2.27 | 4.49 | 0.54 | 12.86 | 0.17 | 58.27 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.27 | 4.49 | 0.54 | 12.86 | 0.17 | 58.27 |

| Number of Locked in shares (XII) | | | | | | |
|---|--------|---------|--------|---------|-------|----------|
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | | | |
| No. (a) | 0 | 1200000 | 0 | 4750000 | 0 | 9904161 |
| As a % of total Shares held (b) | 0 | 60.91 | 0 | 84.26 | 0 | 38.78 |
| Number of equity shares held in dematerialized form (XIV) | 994539 | 1970000 | 237346 | 5637500 | 72394 | 25538343 |
| Reason for not providing PAN | | | | | | |

Mutual Funds

| | | |
|--|--|---------------------------------------|
| Serial No. | 1 | |
| Name of the Shareholders (I) | DSP BLACK ROCK 3 YEARS CLOSE ENDED EQUITY FUND | Click here to go back |
| PAN (II) | | Total |
| No. of fully paid up equity shares held (IV) | 466505 | 466505 |
| No. Of Partly paid-up equity shares held (V) | | |
| No. Of shares underlying Depository Receipts (VI) | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 466505 | 466505 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.06 | 1.06 |
| Number of Voting Rights held in each class of securities (IX) | | |
| Class eg: X | 466505 | 466505 |
| Class eg:y | | |
| Total | 466505 | 466505 |
| Total as a % of Total Voting rights | 1.06 | 1.06 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.06 | 1.06 |
| Number of Locked in shares (XII) | | |
| No. (a) | | |
| As a % of total Shares held (b) | | |
| Number of equity shares held in dematerialized form (XIV) | 466505 | 466505 |

| | | |
|------------------------------|--|--|
| Reason for not providing PAN | | |
| Reason for not providing PAN | | |

| Any Other (specify) | | | | |
|--|--|--|--|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | |
| Category / More than 1 percentage | More than 1 percentage of shareholding | More than 1 percentage of shareholding | More than 1 percentage of shareholding | |
| Name of the Shareholders (I) | VLS FINANCE LIMITED | LUSH TRADERS PRIVATE LIMITED | SWADESHI MERCANTILE PRIVATE LIMITED | Click here to go back |
| PAN (II) | | | | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 0 |
| No. of fully paid up equity shares held (IV) | 952500 | 569400 | 463048 | 0 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 952500 | 569400 | 463048 | 0 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 2.17 | 1.3 | 1.06 | 0 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 952500 | 569400 | 463048 | 0 |
| Class eg:y | | | | |
| Total | 952500 | 569400 | 463048 | 0 |
| Total as a % of Total Voting rights | 2.17 | 1.3 | 1.06 | 0 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 2.17 | 1.3 | 1.06 | 0 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |

| | | | | |
|---|--------|--------|--------|---|
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 952500 | 569400 | 463048 | 0 |
| Reason for not providing PAN | | | | |
| Reason for not providing PAN | | | | |

Details of Shares which remain unclaimed for Public

| Serial No. | Number of shareholders | Outstanding shares held in demat or unclaimed suspense account | voting rights which are frozen | Disclosure of notes on shares which remain unclaimed for public shareholders |
|------------|------------------------|--|--------------------------------|--|
| 1 | 146 | 10263 | 10263 | |

